PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number 10/542,043

TRANSMITTAL

Filing Date January 16, 2004

First Named Inventor Charles R. Cantor

Art Unit To be assigned.

(to be used for all correspondence after initial filing)

Attorney Docket Number

701586-53653

			THE CONTROL !						
ENCLOSURES (Check all that apply)									
\	Fee Trans	smittal Fo	orm		Drawing(s)			After A	Illowance Communication to TC
	☐ Fe	Fee Attached			Licensing-related Papers				eal Communication to Board ppeals and Interferences
Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement' Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Petition Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks The Commissioner is hereby authorized to charge any fee deficiency or credit any overpto the Nixon Peabody LLP Deposit Account No. 19-2380.			Il Notice, Brief, Reply Brief) etary Information Letter Enclosure(s) (please Identify : ched addional enclosures				
			SIGNA	TURE	OF APPLICANT, ATT	ORNEY, C	R AG	ENT	
Firm Name Nixon Peabody LLP, 100 Summer Street, Boston, MA 02110-2131									
Signat	ure (Sed	7	2000	70			
Printed name Ronald I. Eisenstein / Leens			na H. K	arttunen				_	
Date		Octobe	r 6, 2006	Reg. No.		30,628 / L0207			
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:									
Signature									
Typed or printed name Megan k. Stembr				idge				Date	October 6, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NSMITTAL FORM (PTO/SB/21)

ADDITIONAL ENCLOSURES

Application Number	10/542,043			
Filing Date	January 16, 2004			
First Named Inventor	Charles R. Cantor			
Art Unit	To be assigned			
Examiner Name	To be assigned			
Attorney Docket	701586-53653			
Number				

Certificate of Mailing (1 pg.);

Copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures (2 pp.); Copy of Certificate of Limited Recognition (1 pg.);

Transmittal Form (2 pp.);

Letter in Response to Notification (1 pg.);

Amendment under 37 C.F.R. §1.825 (1 pg.);

Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825 (1 pp.);

Paper Copy of Sequence Listing (12 pp.);

Computer readable copy of Sequence Listing (1 disk);

Copy of Information Disclosure Statement submitted to U.S. PTO on July 26, 2006 (15 pp.);

Copy of Stamped Return Receipt Postcard (1 pg.);

Fee Transmittal in duplicate (2 pp.); and

Return Receipt Postcard.



IN THE UNITED STATES RECEIVING OFFICE

PCT/US2004/001329	16.01.2004 16 January 2004	17.01.2003 17 January 2003	
International	International	International Earliest	
Application Number	Filing Date	Priority Date	

TITLE OF INVENTION:

HAPLOTYPE ANALYSIS

APPLICANT(S) FOR EO/DO: INVENTOR(S)/APPLICANT(S) FOR US:

The Trustees of Boston University Charles R. Cantor and Chunming Ding

U.S.S.N.:

10/542,043

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that this correspondence:

- 1. Certificate of Mailing (1 pg.);
- 2. Copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures (2 pp.);
- 3. Copy of Certificate of Limited Recognition (1 pg.);
- 4. Transmittal Form (2 pp.);
- 5. Letter in Response to Notification (1 pg.);
- 6. Amendment under 37 C.F.R. §1.825 (1 pg.);
- 7. Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825 (1 pp.);
- 8. Paper Copy of Sequence Listing (12 pp.);
- 9. Computer readable copy of Sequence Listing (1 disk);
- 10. Copy of Information Disclosure Statement submitted to U.S. PTO on July 26, 2006 (15 pp.);
- 11. Copy of Stamped Return Receipt Postcard (1 pg.);
- 12. Fee Transmittal in duplicate (2 pp.); and
- 13. Return Receipt Postcard.

is on the date shown below being:

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 X deposited with the United States Postal Service and with sufficient postage as first class mail in an envelope addressed to:
 Commissioner for Patents
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Date: October 6, 2006

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Signature

Megan L. Stembridge

(type or print name of person certifying)



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UNITED STATES DEPARTMENT OF COMMERCE United States Potent and Trademark Office

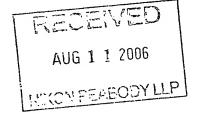
P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Charles R Cantor 10/542,043

ATTY. DOCKET NO.

701586-53653

50187 RONALD I. EISENSTEIN NIXON PEABODY LLP 100 SUMMER STREET BOSTON, MA 02110



INTERNATIONAL APPLICATION NO. PCT/US04/01329 PRIORITY DATE I.A. FILING DATE 01/17/2003 01/16/2004

CONFIRMATION NO. 8687 371 FORMALITIES LETTER OC000000018140919*

Date Mailed: 08/08/2006

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Additionally the following defects have been observed:

• The International Search Report has not be supplied, the Search Fee has been adjusted to reflect this omission.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/542,043	PCT/US04/01329	701586-53653

FORM PCT/DO/EO/922 (371 Formalities Notice)